



# Homotopy Equivalences of Varieties Built from Braids

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Plan of this talk:

- 1  $\mathcal{U}$  versus  $U_+U_-$
- 2  $\mathcal{U}(\beta)$  versus  $\mathcal{X}(\beta\Delta^2)$
- 3 Traces on the Hecke Category
- 4  $\mathcal{T}(\beta)$  versus  $\mathcal{M}_\gamma/\Lambda_\gamma$

References:

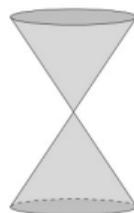
- [From the Hecke Category to the Unipotent Locus](#) (2021)
- [\$\mathcal{U}\$  versus  \$U\_+U\_-\$](#)  (*in progress*)
- [Algebraic Braids and NAHT](#) (*in revision*)

## §1 $\mathcal{U}$ versus $U_+U_-$

$G$  semisimple algebraic group

$\mathcal{U}$  unipotent locus

**Ex** For  $G = \mathrm{SL}_2$ :



Explicitly,  $\mathcal{U} = \{g \in \mathrm{SL}_2 \mid \mathrm{tr} g = 2\}$ .

**Q** What is  $|\mathcal{U}(\mathbf{F}_p)|$  for prime  $p \gg 0$ ?

**Q** Fix a Borel  $B_+ \subseteq G$ . How does  $\mathcal{U} \cap gB_+$  vary?

$B_{\pm}$  opposite pair of Borels

$U_{\pm}$  unipotent radicals

**Conj 1** At the level of  $\mathbf{C}$ -points,

$$\mathcal{U}_g := \mathcal{U} \cap gB_+ \quad \text{and} \quad \mathcal{V}_g := U_+U_- \cap gB_+$$

are homotopy equivalent for any  $g$ .

**Thm (Steinberg '68)**  $|\mathcal{U}(\mathbf{F}_p)| = |U_+U_-(\mathbf{F}_p)|$ .

**Thm (Kawanaka '75)**  $|\mathcal{U}_g(\mathbf{F}_p)| = |\mathcal{V}_g(\mathbf{F}_p)|$ .

**Thm 1** There's an isomorphism

$$\mathrm{gr}_*^w H_*^{\mathrm{BM}, H_g}(\mathcal{U}_g) \simeq \mathrm{gr}_*^w H_*^{\mathrm{BM}, H_g}(\mathcal{V}_g),$$

where  $H_g = B_+ \cap gB_+g^{-1}$ . Proof uses link homology!

$W$  Weyl group       $Br_W$  braid group

For each positive braid  $\beta$ , we'll build equivariant cartesian squares:

$$\begin{array}{ccccc} X_0(\beta, 1) & \longrightarrow & \mathcal{X}(\beta) & \longrightarrow & \mathcal{U}(\beta) \\ \downarrow & & \downarrow & & \downarrow \\ pt & \xrightarrow{B_+} & G/B_+ & \xrightarrow{1 \times \mathrm{id}} & \mathcal{U} \times G/B_+ \end{array}$$

where  $X_0(\beta, 1)$  is the *braid variety* of Mellit, CGGS.

**Prop** If  $B_+ \xrightarrow{w} gB_+g^{-1}$  and  $\beta = \sigma_w$ , then

$$\begin{aligned} [\mathcal{U}_g/H_g] &\simeq [\mathcal{U}(\beta)/G], \\ [\mathcal{V}_g/H_g] &\approx [\mathcal{X}(\beta\Delta^2)/G], \end{aligned}$$

where  $\Delta = \sigma_{w_0}$  is the half twist.

## §2 $\mathcal{U}(\beta)$ versus $\mathcal{X}(\beta\Delta^2)$

$\sigma_w \in Br_W$  is the minimal positive lift of  $w \in W$ .

Suppose  $\beta = \sigma_{w_1} \cdots \sigma_{w_k}$ . Then:

$$\mathcal{U}(\beta) = \left\{ (u, B_1, \dots, B_k) \left| \begin{array}{l} B_{i-1} \xrightarrow{w_i} B_i, \\ B_0 = uB_k u^{-1} \end{array} \right. \right\}$$

$\mathcal{X}(\beta)$  = subvariety of  $\mathcal{U}(\beta)$  where  $u = 1$

$X_0(\beta, 1)$  = subvariety of  $\mathcal{X}(\beta)$  where  $B_k = B_+$

**Ex** For  $\mathbf{1} := \sigma_{\text{id}}$ , we have:

$\mathcal{U}(\mathbf{1}) = \{(u, B_1) \mid u \in B_1\}$  = Springer resolution

$\mathcal{X}(\mathbf{1}) \simeq G/B_+$

Suppose  $B_+ \xrightarrow{w} gB_+g^{-1}$  and  $H_g = B_+ \cap gB_+g^{-1}$ .

Let  $O_w = \{(B', B) \mid B' \xrightarrow{w} B\} \simeq G/H_g$ .

**1**  $\mathcal{U}_g = \mathcal{U} \cap gB_+$  is the fiber of

$$\mathcal{U}(\sigma_w) \xrightarrow{(B_1, uB_1 u^{-1})} O_w$$

above  $(B_+, gB_+g^{-1})$ . Thus  $[\mathcal{U}_g/H_g] \simeq [\mathcal{U}(\sigma_w)/G]$ .

**2**  $\mathcal{V}_g = U_+U_- \cap gB_+$  bijects onto the fiber of

$$\mathcal{X}(\sigma_w \Delta^2) \xrightarrow{(B_1, B_3)} O_w$$

above  $(B_+, gB_+g^{-1})$ . Thus  $[\mathcal{V}_g/H_g] \approx [\mathcal{X}(\sigma_w \Delta^2)/G]$ .

**Thm 2** For any positive  $\beta$ , we have

$$\mathrm{gr}_*^w H_*^{\mathrm{BM},G}(\mathcal{U}(\beta)) \simeq \mathrm{gr}_*^w H_*^{\mathrm{BM},G}(\mathcal{X}(\beta\Delta^2)).$$

*Pf sketch* Using Springer theory, we'll show

$$\mathrm{gr}_*^w H_*^{\mathrm{BM},G}(\mathcal{U}(\beta)) \simeq [a^{|\beta|-r}] \mathrm{HHH}(\hat{\beta}),$$

$$\mathrm{gr}_*^w H_*^{\mathrm{BM},G}(\mathcal{X}(\beta)) \simeq [a^{|\beta|+r}] \mathrm{HHH}(\hat{\beta}),$$

where **HHH** is *triply-graded Khovanov–Rozansky homology* and  $r = \mathrm{rk}(W)$ .

GHMN proved that for any braid  $\beta$ ,

$$[a^{|\beta|-r}] \mathrm{HHH}(\hat{\beta}) \simeq [a^{|\beta|+r}] \mathrm{HHH}(\widehat{\beta\Delta^2}).$$

**Thm 1** ( $\mathcal{U}_g$  vs  $\mathcal{V}_g$ ) is a special case of **Thm 2**, which in turn will be a corollary of a stronger result.

The *Steinberg variety* of  $\beta$  is

$$\mathcal{Z}(\beta) = \mathcal{U}(\mathbf{1}) \times_{\mathcal{U}} \mathcal{U}(\beta).$$

Via pull-push functors,

$$H_*^{\mathrm{BM},G}(\mathcal{Z}(\mathbf{1}))$$

forms an algebra that acts on  $H_*^{\mathrm{BM},G}(\mathcal{Z}(\beta))$ .

**Thm (Lusztig '88)** As algebras,

$$H_*^{\mathrm{BM},G}(\mathcal{Z}(\mathbf{1})) \simeq \mathbf{C}[W] \ltimes \mathrm{Sym}(\mathfrak{t}),$$

where  $\mathfrak{t}$  is the Cartan algebra of  $\mathfrak{g} = \mathrm{Lie}(G)$ .

**Thm 3** For any positive  $\beta$  and  $0 \leq k \leq r$ , we have

$$[\Lambda^k(\mathfrak{t})] \mathrm{gr}_*^w H_*^{\mathrm{BM},G}(\mathcal{Z}(\beta)) \simeq [a^{|\beta|-r+2k}] \mathrm{HHH}(\hat{\beta}).$$

### §3 Traces on the Hecke Category

**Thm 3** relies on work of Webster–Williamson.

Recall that Khovanov–Rozansky homology is really a *monoidal trace functor*

$$\mathbf{HHH} : \mathbf{H}_W \rightarrow \mathbf{Vect}_3,$$

where  $\mathbf{H}_W = K^b(\mathbf{SBim}_W)$  is the *Hecke category*.

Rouquier gave a “strict” categorification of  $Br_W$ :

$$\beta \mapsto \mathcal{R}(\beta)$$

such that  $\mathbf{HHH}(\hat{\beta}) \propto \mathbf{HHH}(\mathcal{R}(\beta))$ .

Webster–Williamson constructed this functor from the geometry of “mixed sheaves”.

Bruhat decomposition:  $G = \coprod_{w \in W} B_+ w B_+$

Let  $\mathbf{IC}_w$  be the perverse sheaf formed by  $!*$ -extension of the constant sheaf along  $BwB \hookrightarrow G$ .

Soergel essentially matched  $\mathbf{SBim}_W$  with

$$\left\langle \mathbf{IC}_w \langle m \rangle \left| \begin{array}{l} w \in W \\ m \in \mathbf{Z} \end{array} \right. \right\rangle_{\oplus} \subseteq D_m^b(B_+ \backslash G / B_+),$$

where  $D_m^b$  is the *mixed* derived category and  $\langle 1 \rangle$  is the *shift-twist*.

Roughly, pull-push through the *horocycle diagram*

$$[B_+ \backslash G / B_+] \leftarrow [G / B_+, \text{Ad}] \rightarrow [G / G_{\text{Ad}}]$$

categorifies the cocenter map of the Hecke algebra.

The functor

$$\mathbf{CH} : D_m^b(B_+ \backslash G/B_+) \rightarrow D_m^b(G/G_{\text{Ad}})$$

induces a monoidal trace on  $\mathbf{H}_W$ .

**Thm (WW)** For all  $w \in W$ , we have

$$\text{gr}_{i+j}^{\mathbf{w}} H_G^j(G, \mathbf{CH}(\text{IC}_w)) \simeq \mathbf{HH}^i(H_{B \times B}^{i+j}(G, \text{IC}_w)),$$

where  $\mathbf{HH}^*$  is Hochschild homology over  $\text{Sym}(\mathfrak{t})$ .

So  $\mathbf{HH}$  factors as

$$\mathbf{H}_W \xrightarrow{\mathbf{CH}} K^b(\mathbf{C}_G) \xrightarrow{\text{gr}_*^{\mathbf{w}} H_G^*} \mathbf{Vect}_3,$$

where  $\mathbf{C}_G = \langle \mathbf{CH}(\text{IC}_w) \langle m \rangle : w, m \rangle_{\oplus} \subseteq D_m^b(G/G_{\text{Ad}})$ .

Want to assemble this into the homology of a variety.

Let  $i : \mathcal{U} \rightarrow G$  be the inclusion. We build:

$$\begin{array}{ccccc} K^b(\mathbf{SBim}_W) & \xrightarrow{\mathbf{CH}} & K^b(\mathbf{C}_G) & \xrightarrow{\iota^*} & K^b(\mathbf{C}_{\mathcal{U}}) \\ \rho \downarrow & & & & \downarrow \rho \\ D_m^b(B_+ \backslash G/B_+) & \xrightarrow{\mathbf{CH}} & D_m^b(G/G) & \xrightarrow{\iota^*} & D_m^b(\mathcal{U}/G) \end{array}$$

The  $\rho$  are *weight realization functors*. Their existence uses an Ext-vanishing condition that fails for  $\mathbf{C}_G$ .

Let  $\mathcal{S} \in D_m^b(\mathcal{U}/G)$  be the (mixed) Springer sheaf.

**Lem** As contravariant functors on  $\underline{\mathbf{C}}_G$ ,

$$(\text{gr}_{i+j}^{\mathbf{w}} H_G^j(G, -))^{\vee} \propto [\Lambda^i(\mathfrak{t})] \underline{\text{Hom}}^0(i^*(-), \mathcal{S} \langle j \rangle).$$

Can check on summands of the Grothendieck sheaf.

*Pf sketch of Thm 3* Chasing  $\mathcal{R}(\beta)$  through the upper-right part and applying

$$(\clubsuit) \quad \bigoplus_{i,j} [\Lambda(\mathbf{t})] \underline{\mathrm{Hom}}^0(-, \mathcal{S}(j)[i-j]_{\Delta}),$$

we recover  $\mathrm{HHH}(\hat{\beta})^{\vee}$ , by **Thm (WW)** and **Lem.**

Chasing it through the lower-left and applying

$$(\spadesuit) \quad \bigoplus_{i,j} [\Lambda(\mathbf{t})] \mathrm{gr}_j^{\mathbf{w}} \underline{\mathrm{Hom}}^i(-, \mathcal{S}),$$

we recover  $[\Lambda(\mathbf{t})] \mathrm{gr}_*^{\mathbf{w}} \mathrm{H}_*^{\mathrm{BM},G}(\mathcal{Z}(\beta))$ .

Finally, match  $(\clubsuit)$  and  $(\spadesuit)$  using “purity” in  $\mathbf{C}_{\mathcal{U}}$ .

*Slogan:* Difference between Springer and Grothendieck is homology of a maximal torus, *i.e.*,  $\Lambda(\mathbf{t})$ .

**Ex** Take  $G = \mathrm{SL}_2$  and  $W = S_2$  and  $\beta = \sigma^3$ .

Here,  $\hat{\beta}$  is a trefoil and

$$\begin{aligned} & \dim_{a,q,t} \mathrm{HHH}(\hat{\beta}) \\ &= a^2(q^{-1} + qt^2) + a^4t^3 \\ &= (at)^{|\beta|} a^{-r} (q^{-1}t^{-3} + qt^{-1} - (a^2q^{\frac{1}{2}}t)q^{-\frac{1}{2}}t^{-1}). \end{aligned}$$

The tuples  $(0, -2, 3)$ ,  $(0, 2, 1)$ ,  $(1, -1, 1)$  correspond to

$$\mathrm{gr}_0^{\mathbf{w}} \mathrm{H}_2^{\mathrm{BM},G}, \quad \mathrm{gr}_4^{\mathbf{w}} \mathrm{H}_4^{\mathrm{BM},G}, \quad \mathrm{gr}_2^{\mathbf{w}} \mathrm{H}_2^{\mathrm{BM},G}.$$

The **red** term is the difference between  $\mathrm{H}_*^{\mathrm{BM},G}(\mathcal{U}(\beta))$  and  $\mathrm{H}_*^{\mathrm{BM},G}(\mathcal{Z}(\beta))$ .

Note that  $[\mathcal{U}(\beta)/G]$  retracts onto a 2-sphere.

#### §4 $\mathcal{M}_\gamma/\Lambda_\gamma$ versus $\mathcal{T}(\beta)$

Recall the *affine Grassmannian*:

$$\mathcal{M}(\mathbf{C}) = G(\mathbf{C}((x)))/G(\mathbf{C}[[x]])$$

Any  $\gamma \in \mathfrak{g}(\mathbf{C}((x)))$  defines a vector field on  $\mathcal{M}$ .

If  $\gamma$  is regular semisimple, then the fixed-point locus

$$\mathcal{M}_\gamma = \{[g] \in \mathcal{M} \mid \text{Ad}(g^{-1})\gamma \in \mathfrak{g}(\mathbf{C}[[x]])\}$$

is a finite-dimensional ind-scheme called the *affine Springer fiber* of  $\gamma$ .

Note that  $G_\gamma := G(\mathbf{C}((x)))_\gamma \curvearrowright \mathcal{M}_\gamma$ . Let

$$\Lambda_\gamma = \text{Im}(\lambda \mapsto x^\lambda : \mathbf{X}_*(G_\gamma) \rightarrow G_\gamma).$$

Kazhdan–Lusztig show  $\mathcal{M}_\gamma/\Lambda_\gamma$  is a projective variety.

Let  $\mathfrak{c} = \mathfrak{g} // G \simeq \mathfrak{t} // W$ , the *Chevalley quotient*.

If  $\mathfrak{g} \rightarrow \mathfrak{c}$  sends  $\gamma \mapsto a \in \mathfrak{c}(\mathbf{C}[[x]])$ , then the  $\simeq$  type of  $(\mathcal{M}_\gamma, \Lambda_\gamma)$

only depends on  $a$ .

At the same time,  $a$  defines an infinitesimal loop in  $\mathfrak{c}^{\text{reg}} = \mathfrak{t}^{\text{reg}} // W$ . Turns out to give a conjugacy class

$$[\beta] \subseteq Br_W.$$

How does the topology of  $\mathcal{M}_\gamma$  depend on  $[\beta]$ ?

**Conj 1** If  $\gamma$  is *nil-elliptic* ( $\implies \hat{\beta}$  is a knot), then  $[\mathcal{U}(\beta)/G]$  essentially deformation retracts onto  $\mathcal{M}_\gamma$ .

Special case of a more general conjecture.

In general: Suppose  $\beta = \sigma_{s_1} \cdots \sigma_{s_k}$  with  $s_i$ 's simple,

$$\beta \mapsto w \in W.$$

The rank of  $\Lambda_\gamma$  equals  $\dim \mathfrak{t}^w$ .

Fix  $B = T \ltimes U \subseteq G$  and a lift  $W \rightarrow N(T)$ . Let

$$m_\beta : (T^w)^\circ \times \dot{s}_{i_1} U_{\alpha_{i_1}} \times \cdots \times \dot{s}_{i_k} U_{\alpha_{i_k}} \rightarrow G$$

be the multiplication map, and let  $\mathcal{T}(\beta) = m_\beta^{-1}(\mathcal{U})$ .

When  $\beta$  contains  $\Delta$  as a prefix, there is a  $T^w$ -bundle

$$\mathcal{T}(\beta) \rightarrow [\mathcal{U}(\beta)/G].$$

Expect  $\mathcal{T}(\beta)$  may be related to *y-ified* HHH, just as  $[\mathcal{U}(\beta)/G]$  is related to usual HHH.

**Conj 2** For arbitrary  $\gamma$  such that  $\gamma(0) = 0$ , we have a commutative diagram

$$\begin{array}{ccc} \mathcal{T}(\beta) & \xrightarrow{\text{def. retract}} & \mathcal{M}_\gamma/\Lambda_\gamma \\ \downarrow & & \downarrow \\ [\mathcal{U}/G] & \xlongequal{\quad} & [\mathcal{N}/G] \end{array}$$

Moreover, the retraction identifies:

$$\begin{array}{c} \text{halved weight filtration on } \mathcal{T}(\beta) \\ \simeq \\ \text{perverse filtration on } \mathcal{M}_\gamma/\Lambda_\gamma \end{array}$$

View  $\mathcal{T}(\beta)$  and  $\mathcal{M}_\gamma/\Lambda_\gamma$  as Betti and Dolbeault sides of a nonabelian Hodge correspondence.

**Thm 4** Evidence for diagram at level of  $q$ -deformed Euler characteristics, for *equivaled* elliptic  $\gamma$ .

**Ex** Take  $G = \mathrm{SL}_2$  and  $S_2 = \langle s \rangle$  and  $Br_2 = \langle \sigma \rangle$ .

If  $\beta = \sigma^2$ , then  $w = 1$  and  $(T^w)^\circ = T$ .

$$\begin{aligned}\mathcal{T}(\beta) &= \left\{ (a, z_1, z_2) : \mathrm{tr} \begin{pmatrix} a & \\ & \frac{1}{a} \end{pmatrix} \begin{pmatrix} -1 & \\ & z_1 \end{pmatrix} \begin{pmatrix} -1 & \\ & z_2 \end{pmatrix} = 2 \right\} \\ &= \{(a, z_1, z_2) \in \mathbf{G}_m \times \mathbf{A}^2 : z_1 z_2 = (1 + a)^2\}\end{aligned}$$

deformation retracts onto a pinched torus.

*Thank you for listening.*

If  $\beta = \sigma^3$ , then  $w = s$  and  $(T^w)^\circ = 1$ .

$$\begin{aligned}\mathcal{T}(\beta) &= \left\{ (z_1, z_2, z_3) : \mathrm{tr} \begin{pmatrix} -1 & \\ & z_1 \end{pmatrix} \begin{pmatrix} -1 & \\ & z_2 \end{pmatrix} \begin{pmatrix} -1 & \\ & z_3 \end{pmatrix} = 2 \right\} \\ &= \{(z_1, z_2, z_3) \in \mathbf{A}^3 : z_1 z_2 z_3 = 2 + z_1 + z_2 + z_3\}\end{aligned}$$

deformation retracts onto a sphere.